



Fee: \$471
GST Exempt
01/07/20 - 30/06/21
Processing Time:
10 business days

SOUTHERN ZONE ROCK LOBSTER FISHERY (SZRLF)

Fisheries Management Act 2007

Fisheries Management (Rock Lobster Fishery) Regulations 2017

APPLICATION TO TRANSFER A SZRLF LICENCE

With this completed application for Licence Number: _____

- I have enclosed the licence transfer payment of \$471.
- I have paid all outstanding fees in full relevant to this licence, up to and including this financial year.
- I have enclosed the current **Original** Licence and the Registrations and Entitlements Register
- If in a company name, the form must be completed by a company Director and forwarded with a copy of an **ASIC full company search**.
- Both parties have **initialled** each page (bottom corner, where indicated).
- I have enclosed a copy of the current vessel certificate of operation.
- I have lodged all **Catch and Effort Returns with SARDI** (ph: 08 8207 5400)
- I have enclosed the application to **Remove the Registration of a Third Party Interest** where applicable (An additional fee is not required for this application form).
- If applicable, I have attached a completed cancellation form for my associated **Restricted Fish Processor Registration**.
- I have returned all unused CDR books and bin tags to PIRSA Fisheries and Aquaculture.

PIRSA FISHERIES & AQUACULTURE

2 Hamra Avenue, West Beach. SA 5024

GPO Box 1625, Adelaide SA 5001

Telephone (08) 8207 5332 Facsimile (08) 8207 5331

Email PIRSA.FisheriesLicensing@sa.gov.au

<http://www.pir.sa.gov.au/fisheries/home>

Licence Holders
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INSTRUCTIONS FOR COMPLETION

1. Read the information contained in this application form carefully. Contact PIRSA Fisheries if you need clarification of any fisheries management or licensing matter contained in, or related to, this form.
2. You are advised to contact the Department of Planning, Transport and Infrastructure regarding qualifications necessary to operate a boat.
3. Seek professional advice if necessary in relation to the completion of this form, including taxation and legal issues.
4. The person who currently holds the licence must complete Part A(1) and part B of this form, and the statutory declaration at the end of Part B.
5. The person to whom the licence is to be transferred must complete Part A(2) and part C of this form, and the statutory declaration at the end of Part C.
6. Part D is required to be completed by all persons applying to be a registered master, including licence holder. Refer to pages 12 and 13 of this application in relation to registered masters.
7. All parts of the application form must be submitted at the same time. If the proposed transferee is a company, please ensure that the relevant attachments are enclosed with the application.
8. If there are one or more persons or entities registered on the public register as having an interest in the licence that is to be transferred, they must provide consent to the transfer. You must seek this consent and attach it to this application. If a bank is a registered interest holder, the written consent must be provided on bank letterhead and / or be stamped with the bank seal.

Third party interest holders should also complete the "Cancellation of 3rd party interest form" that is attached to this application.
9. **All applications must be completed in full** before being lodged with PIRSA Fisheries Licensing. If the completed application is not received within 30 days then the application will be returned. Please contact PIRSA Fisheries & Aquaculture on (08) 8204 1370 if you have any queries. Both parties **MUST** initial the bottom of each page (where indicated).

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GENERAL INFORMATION

1. The *Fisheries Management (Rock Lobster Fisheries) Regulations 2017* provide that a licence in respect of a rock lobster fishery may be transferred with the consent of the Minister. An application for consent must be in writing and must be made on this form.
2. The *Fisheries Management (Rock Lobster Fisheries) Regulations 2017* provide that: The Minister may only consent to the transfer of a licence if satisfied as to the following:
 - (a) that any fees or other amounts payable in relation to the licence under the Act or the repealed Act have been paid in full;
 - (b) that the licence to be transferred has not been suspended;
 - (c) that no proceedings alleging an offence against the Act or the repealed Act are pending or likely to be commenced in the State against the holder of the licence;
 - (d) that the transfer is to 1 person only;
 - (e) if the transferee is a natural person, that the transferee is at least 15 years of age and is a fit and proper person to hold a licence in respect of a rock lobster fishery;
 - (f) if the transferee is a company, that each director of the company is a fit and proper person to be a director of a company that holds a licence in respect of a rock lobster fishery;
3. 'Catch History' is the amount of fish taken by a licence holder pursuant to a licence issued under the *Fisheries Management Act 2007*. In some fisheries, when management arrangements have changed, catch history has been used as one of the relevant criteria when allocating resources. It is important to note that PIRSA Fisheries does not recognise the transfer of catch history from one licence holder to another when a licence is sold or transferred. Catch history can only be recognised for a person who holds a valid licence.
4. Upon the death of a licence holder, the licence will become vested in the personal representative of the deceased, as part of the estate of the deceased. The requirements that apply in this situation are set out in section 57 of the *Fisheries Management Act 2007*.
5. The *Fisheries Management Act 2007* provides that a public register of authorities (including licences) issued under the Act must be kept. This register must include, among other things, a notation that a specified person has a registered interest in a licence. This notation will be made upon application by the holder of the relevant licence, and on payment of the prescribed fee.
6. If you are in the process of acquiring a licence under the *Fisheries Management Act 2007* you are advised to make independent enquires of the licence holder as to any demerit points that are recorded on that licence. The Public Register may not be up to date at the time of your search as there are sometimes delays in the allocation process and so should not be relied upon in isolation from other enquiries any demerits that are incurred by the licence as at the time of the transfer will be attributed to the relevant licence in the ordinary course of business regardless of any transfers occurring in that period. If you require further clarification of the actual demerits held by a licence prior to any transfer you will need to request that from PIRSA Fisheries in writing and as part of that process you will need written consent of the licence holder for that information to be released to you.

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FALSE AND MISLEADING INFORMATION

A person must not make a statement that is false or misleading in a material particular (whether by reason of the inclusion or omission of any particular) in any information provided, or record kept, under this Act.

Maximum penalty:

- (a) if the case where the offence involved the making of a false or misleading statement, or the provision of false or misleading information, relating to a quota entitlement under a fishery authority—
 - (i) if the offender is a body corporate—\$300 000;
 - (ii) if the offender is a natural person—\$60 000;
- (b) in any other case—
 - (i) if the offender is a body corporate—\$100 000;
 - (ii) if the offender is a natural person—\$20 000.

Fisheries Management Act 2007 (Section 119)

PART A CONVICTION CHECKS – To be completed by both parties

Section 6 (3)(c) of the *Fisheries Management (Rock Lobster) Regulations 2017* states: The Minister may only consent to the transfer of a licence if satisfied that no proceedings alleging an offence against the Act or the repealed Act are pending or likely to be commenced in the State against the holder of the licence

TO BE COMPLETED BY THE CURRENT LICENCE HOLDER - Convictions or Charges

For the purposes of this application I consent to the release of full details of any convictions or charges for breaches of fisheries related legislation imposed on me in any Australian State or Territory during the period of three years immediately preceding the date of this application. I acknowledge that without this consent being provided, conviction details in some States may not be disclosed.

If the licence is held in your personal name, have you appeared before a Court or Tribunal, or do you have charges or prosecution action pending or likely to be commenced, for an offence involving a breach of legislation relating to fishing in any State or Territory of the Commonwealth during the preceding three years?

Yes/No

If Yes, give details

If the licence is held in a company name, has any director of the company appeared before a Court or Tribunal, or have charges or prosecution action pending or likely to be commenced, for an offence involving a breach of legislation relating to fishing in any State or Territory of the Commonwealth during the preceding three years?

Yes/No

If Yes, give details

Signature of Current Licence Holder Date:

TO BE COMPLETED BY APPLICANT RECEIVING THE LICENCE - Convictions or Charges

For the purposes of this application I consent to the release of full details of any convictions or charges for breaches of fisheries related legislation imposed on me in any Australian State or Territory during the period of three years immediately preceding the date of this application. I acknowledge that without this consent being provided, conviction details in some States may not be disclosed.

If the licence is to be held in your personal name, have you appeared before a Court or Tribunal, or do you have charges or prosecution action pending or likely to be commenced, for an offence involving a breach of legislation relating to fishing in any State or Territory of the Commonwealth during the preceding three years?

Yes/No

If Yes, give details

If the licence is to be held in a company name, has any director of the company appeared before a Court or Tribunal, or have charges or prosecution action pending or likely to be commenced, for an offence involving a breach of legislation relating to fishing in any State or Territory of the Commonwealth the preceding three years?

Yes/No

If Yes, give details

Signature of Receiving Applicant: Date:

Demerit Points on Licence

Have demerit points been applied to this licence (Yes/No) Number of points

Licence Holder Signature..... Receivers Signature.....

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PART B Application to transfer licence by the existing licence holder.

Licence number to be transferred:

Licence holder:..... Date of birth...../...../.....

Phone :

Address:

..... Post Code

Rock lobster pots endorsed on licence:

Rock lobster quota units endorsed on licence:

Giant crab quota units endorsed on licence:

Number of kilograms of rock lobster that have already been caught during the current licensing year according to CDR returns in relation to my licence:

Number of kilograms of giant crab that have already been caught during the current licensing year according to CDR returns in relation to my licence:

The boat registered on this licence is also registered on another State or Commonwealth fishery licence **Yes / No**

If yes provide details

Are there one or more persons or entities registered on the public register as having an interest in the licence that is to be transferred? **Yes / No**

If yes, each interest holder must complete an Application to Remove the Registration of a Third Party Interest and submit this form as part of the licence transfer application. Are these applications attached? **Yes / No**

Agent Information:

Is an agent or representative (i.e. a solicitor or conveyancer) handling this matter on your behalf? **Yes / No**

Name of agent:.....

Phone: Email:.....

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DECLARATION TO BE COMPLETED BY EXISTING LICENCE HOLDER

Application to transfer Southern Zone Rock Lobster Fishery Licence (SZRLF)

I (Natural Person/ Director)
(Full name of person completing this form – individual licence holder or company Director)

of (address)

Contact Telephone Number:.....

who is the licence holder of Southern Zone Rock Lobster Fishery licence No

hereby certify that this application is to the best of my knowledge and belief true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

All parties who have an interest in the licence registered by notation on the public register established by section 116 of the *Fisheries Management Act 2007* have provided their consent to this transfer

Dated the (day) of(month) , 20..... (year)

Signed:

Witnessed by:
(Full Name)

of
(address)

Signature of Witness:

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PART C To be filled-in by party wanting to take ownership of this licence

1. PERSONAL or COMPANY DETAILS

Name that licence is to be held in:

If the licence is to be held by an individual, **date of birth**...../...../.....

If licence to be held in a company name, ACN:

If licence is to be held in a company name, please list all directors of that company:

.....
.....

If the licence is to be held by a company, please provide an ASIC full company search, no more than one month old from the date of lodging this application. Is this document attached? **Yes / No**

Residential or business address:

..... Post Code

Postal Address

..... Post Code

Telephone number (home) (work)

Telephone number (mobile)

Fax number:

Email address

Do you wish to receive SMS reminders for payment of licence fees? **Yes / No**

Mobile phone number for SMS reminders

Please note: Pursuant to Section 59 of the *Fisheries Management Act 2007*, the holder of a licence/permit or a registered master must at all times when he or she is engaging in a fisheries activity under the licence/permit, carry with them an identification issued by the Minister. For further information, please contact a PIRSA office or your local fisheries officer.

Agent Information:

Is an agent or representative (i.e. a solicitor or conveyancer) handling this matter on your behalf
Yes / No

Name of agent:

Phone: Email:

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2. VICTORIAN ROCK LOBSTER COMMERCIAL LICENCE

Pursuant to regulation 28 of the *Fisheries Management (Rock Lobster Fisheries) Regulations 2017*, a person who is the holder of a licence in respect of the Southern Zone Rock Lobster Fishery must notify the Department in the manner and form, and within such time, as determined by the Minister; if he or she becomes the holder, or ceases to be the holder of a Victorian commercial fishing licence.

Do you have an interest in a Victorian Rock Lobster commercial fishery licence?

YES

NO

3. BOAT DETAILS

Nominate the boat to be registered and endorsed on the licence, and provide the following details:

Boat 1

Name Hull Construction.....
Length(metres) Year of Construction.....
Main Colour Survey Number.....
Home port

Boat 2

Name Hull Construction.....
Length(metres) Year of Construction.....
Main colour Survey Number

Home Port

(Please note: Regulation 7 of the *Fisheries Management (Rock Lobster Fisheries) Regulations 2017* prescribes rules in relation to the registration of a boat and regulation 9 prescribes rules in relation to the use of a second registered boat.

Please refer to Regulations 7, 9 and 10 on page 14 of this application.)

Does the vessel comply with Survey and Certificate of Operation requirements?

Boat 1 - Yes / No **Boat 2** - Yes / No

Please provide a copy of the certificate of operation if available.

PLEASE NOTE: As the owner (and or master) of a vessel it is your responsibility to ensure that the vessel is fit for commercial fishing operations. If you are unsure please contact AMSA: Australian Maritime Safety Authority.

Australian Maritime Safety Authority

AMSA Connect: 1800 627 484

Email: amsaconnect@amsa.gov.au

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4. REGISTERED MASTER(S)

Nominate the person(s) you seek to be registered as the master of the above boat(s):

Master 1:.....

Master 2:

Master 3:.....

Master 4:

Master 5:

Note: All registered master(s) must complete Part D of the application.

Pursuant to Section 59 of the *Fisheries Management Act 2007*, the holder of a licence/permit or a registered master must at all times when he or she is engaging in a fisheries activity under the licence/permit, carry with them an identification issued by the Minister. For further information, please contact a PIRSA office or your local fisheries officer.

5. FISHING DEVICES

The number and type of fishing devices that are currently endorsed on the licence to be transferred are to be registered in my name/the company name and are to be endorsed on the licence after it is transferred:

Agree / Disagree

An application for the removal of fishing devices from this licence will need to be made in writing to the Minister.

7. PRICE OF TRANSFER

The following prices are to be paid for the transfer of -

| | A Amount Paid | B GST |
|---|----------------------|--------------|
| i. the licence | \$ _____ | _____ |
| ii. any boat | \$ _____ | _____ |
| iii. any quota entitlements endorsed on the licence (in addition to (i)) | \$ _____ | _____ |
| iv. any equipment | \$ _____ | _____ |
| v. any other matter or thing being transferred as part of the transaction | \$ _____ | _____ |

Sub-Total \$ _____

Total amount paid (including GST if applicable) \$ _____

in relation to the licence transfer. Note: this should be total of A & B

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DECLARATION TO BE COMPLETED BY RECEIVING LICENCE HOLDER

Application to transfer Southern Zone Rock Lobster Fishery Licence (SZRL)

I (Natural Person/ Director)
(Full name of person completing this form – individual licence holder or company Director)

of (address)

Contact Telephone Number:.....

I am the person making the application for the transfer of a Southern Zone Rock Lobster Fishery licence No

hereby certify that this application is to the best of my knowledge and belief true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated the of, 20

Signed:

Witnessed by:
(Full Name)

of
(address)

Signature of Witness:.....

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PART D DECLARATION TO BE READ AND SIGNED BY ALL REGISTERED MASTERS INCLUDING THE LICENCE HOLDER

- I hereby declare that I have read and understood the information contained in this application .
- I declare that I satisfy the requirements of the *Harbours and Navigation Act 1993 (SA)* and the *Marine Safety (Domestic Commercial Vessel) National Law Act 2012 (Cwth)* in relation to the crewing of vessels; and
- I declare that I have not have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application; and
- I understand that it is an offence under the *Fisheries Management Act 2007* to make a statement that is false or misleading in a material particular; and
- I undertake to comply with all the conditions on the said licence in my capacity as registered master; and
- I understand that I may be liable to prosecution for offences against the Act including breaches of licence condition, committed by any other person in relation to fishing from the registered boat, when I am the master; and
- For the purposes of this application I consent to the release of full details of any convictions or charges for breaches of fisheries related legislation imposed on me in any Australian State or Territory during the period of three years immediately preceding the date of this application. I acknowledge that without this consent being provided, conviction details in some States may not be disclosed.

Master 1 Name **D.O.B.**

Proposed registered master to be endorsed on licence number.....

Postal Address:..... Postcode.....

Residential Address:.....Postcode

Phone numbers:(home)(mobile)

Have you have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application? Yes No

If Yes, give details

I hereby certify that this information is to the best of my knowledge and belief to be true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated theof20

Signature of Master..... Witnessed by Licence Holder

Master 2 Name **D.O.B.**

Proposed registered master to be endorsed on licence number.....

Postal Address:..... Postcode.....

Residential Address:.....Postcode

Phone numbers:(home)(mobile)

Have you have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application? Yes No

If Yes, give details

I hereby certify that this information is to the best of my knowledge and belief to be true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated the.....of20

Signature of Master..... Witnessed by Licence Holder

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Master 3 Name D.O.B.

Proposed registered master to be endorsed on licence number.....

Postal Address:..... Postcode.....

Residential Address:.....Postcode

Phone numbers:(home)(mobile)

Have you have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application? Yes No

If Yes, give details

I hereby certify that this information is to the best of my knowledge and belief to be true and accurate. I know th at this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated the of 20

Signature of Master..... Witnessed by Licence Holder

Master 4 Name D.O.B.

Proposed registered master to be endorsed on licence number.....

Postal Address:..... Postcode.....

Residential Address:.....Postcode

Phone numbers:(home)(mobile)

Have you have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application? Yes No

If Yes, give details

I hereby certify that this information is to the best of my knowledge and belief to be true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated the of 20

Signature of Master..... Witnessed by Licence Holder

Master 5 Name D.O.B.

Proposed registered master to be endorsed on licence number.....

Postal Address:..... Postcode.....

Residential Address:.....Postcode

Phone numbers:(home)(mobile)

Have you have you appeared before a Court or Tribunal for an offence in any State or Territory of the Commonwealth involving a breach of any State or Commonwealth fisheries legislation during the period of three years immediately preceding the date of this application? Yes No

If Yes, give details

I hereby certify that this information is to the best of my knowledge and belief to be true and accurate. I know that this information is to be used for the purpose of the administration of the *Fisheries Management Act 2007* and if it is false or misleading in a material particular (whether by reason of inclusion or omission of any particular) I may be guilty of an offence.

Dated the of 20,

Signature of Master..... Witnessed by Licence Holder

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Regulations 7, 9 and 10 of Fisheries Management (Rock Lobster Fisheries) Regulations 2017:

7- Registration

- (1) An application by the holder of a licence in respect of a rock lobster fishery—
- (a) to register a boat or device for use under the licence; or
 - (b) to register a person as a master of a boat that may be used under the licence, must be accompanied by the documents specified in the application form.
- (2) The Minister may only grant an application to register a boat for use under a licence in respect of a rock lobster fishery if satisfied that—
- (a) no more than 1 other boat is registered for use under the licence; and
 - (b) the boat in respect of which the application is made is not already registered for use under a licence in respect of a rock lobster fishery.

9 - Use of second registered boat in Southern Zone

- (1) If 2 boats are registered for use under a licence in respect of a rock lobster fishery, the holder of the licence must not use both boats, or cause, suffer or permit both boats to be used, at the same time for taking aquatic resources under the licence unless the use made of one of the boats is limited to the setting and retrieval of bait nets and mesh nets.
- Maximum penalty: \$5000.
- (2) A second registered boat that is being used for the setting and retrieval of nets as referred to in subregulation (1) need not be in the charge of a registered master.

10 - Restrictions on use of registered boat in Southern Zone

- (1) A registered boat must not be used to take aquatic resources under a licence in respect of the Southern Zone Rock Lobster Fishery (the **Fishery**) on a particular day if the boat has already been used on that day to take aquatic resources under a Victorian licence.
- (2) If a registered boat is to be used to take aquatic resources under a licence in respect of the Fishery or a Victorian licence on a particular day, the Department must be notified in the manner and form, and at such times, as determined by the Minister under which licence the boat is to be on that day.
- (3) If a registered boat is used to take aquatic resources on 2 or more consecutive days under the same licence, subregulation (2) need only be complied with in relation to the first day.
- (4) While aquatic resources taken outside waters to which this Act applies are aboard a registered boat—
- (a) the boat must not be used to take aquatic resources under a licence in respect of the Fishery: and
 - (b) any aquatic resources taken in the waters of the Fishery must not be stored on the boat.
- (5) If a provision of this regulation is contravened or not complied with, the registered owner of the registered boat is guilty of an offence.

Maximum penalty: \$5000.
Expiation fee: \$315.

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Fisheries Management Act 2007

APPLICATION TO REMOVE THE REGISTRATION OF AN INTEREST IN A COMMERCIAL FISHERY LICENCE

(If you wish to cancel more than one notation, please complete separate copies of this page for each person/company.)

This is an application by a person or company who is recorded as having an interest in a specified commercial fishery licence. This interest is currently recorded on the public register established by section 116 of the *Fisheries Management Act 2007*. This application is an application to have the notation of this interest removed from the register.

Licence number to which interest relates:

Name of licence holder to which interest relates:

Name of interest holder:

Address of interest holder:

.....Postcode:

If the interest holder is a company, name of the Director completing this form on behalf of the company:

.....

If the interest holder is a Bank, please stamp the application with the Official Bank Seal and indicate name and position within organisation:

.....

All applications must be completed in full before being lodged with PIRSA Fisheries Licensing. Applications that are not complete, or that do not have correct documentation attached, will be returned. Please contact PIRSA Fisheries Licensing on (08) 8204 1370 if you have any queries.

Signed Date.....

Interest Holder

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