Effectiveness of an industry Code of Practice in mitigating the operational interactions of the South Australian Sardine Fishery with the short-beaked common dolphin (*Delphinus delphis*)

T.M. Ward, A. Ivey and P. Burch

SARDI Publication No. F2010/000726-3
SARDI Research Report Series No. 666

SARDI Aquatic Sciences
PO Box 120 Henley Beach SA 5022

November 2012

Report to PIRSA Fisheries and Aquaculture
Effectiveness of an industry Code of Practice in mitigating the operational interactions of the South Australian Sardine Fishery with the short-beaked common dolphin (*Delphinus delphis*)

Report to PIRSA Fisheries and Aquaculture

T.M. Ward, A. Ivey and P. Burch

SARDI Publication No. F2010/000726-3
SARDI Research Report Series No. 666

November 2012
# TABLE OF CONTENTS

LIST OF FIGURES.......................................................................................................................... IV  
LIST OF TABLES ............................................................................................................................ V  
ACKNOWLEDGEMENTS ................................................................................................................ 1  
EXECUTIVE SUMMARY ............................................................................................................. 2  

1.0 INTRODUCTION ..................................................................................................................... 3  

2.0 METHODS ................................................................................................................................. 5  
2.1 South Australian Sardine Fishery ...................................................................................... 5  
2.2 Logbook program ................................................................................................................ 6  
2.3 Observer program .............................................................................................................. 6  
2.4 Industry data program ...................................................................................................... 7  
2.5 Data analysis ..................................................................................................................... 7  

3.0 RESULTS ................................................................................................................................ 8  
3.1 Fishing patterns .................................................................................................................. 8  
3.2 Patterns of dolphin encirclement and mortality .............................................................. 8  
    Logbook program................................................................................................................... 8  
    Observer program................................................................................................................ 8  
3.3 Rates of dolphin encirclement and mortality ................................................................. 9  
    Observed rates .................................................................................................................. 9  
    Logbook rates excluding observed events ................................................................. 10  
3.4 Estimates of dolphin encirclement and mortality .......................................................... 10  
3.5 Code of Practice assessment .......................................................................................... 12  

4.0 DISCUSSION ......................................................................................................................... 21  

5.0 REFERENCES ......................................................................................................................... 24
LIST OF FIGURES

Figure 1. Location of the study, fishing areas and sites mentioned in the text. The blue text identifies the regions used for the spatial analysis.............................................. 5

Figure 2. Monthly fishing effort (logbook net-sets), observer effort (observed net-sets), and number of dolphin encirclements and mortalities before (2004-05) and after (2005-06 to 2011-12) the introduction of the industry Code of Practice in the South Australian Sardine Fishery................................................................. 14

Figure 3. Fishing effort (logbook net-sets), observer effort (observed net-sets), and number of dolphin encirclements and mortalities for financial years from before (2004-05) and after (2005-06 to 2011-12) the introduction of the industry Code of Practice in the South Australian Sardine Fishery................................................................. 15

Figure 5. Spatial distribution of fishing effort, location of observed net sets, encirclement and mortality events in SASF during 2004-05 to 2009-10................. 16

Figure 6. Spatial distribution of fishing effort, location of observed net sets, encirclement and mortality events in SASF during 2010-11 and 2011-12........... 17

Figure 7. Percentage of encircled dolphins that were successfully released in the South Australian Sardine Fishery from 2004-05 to 2011-12 based on data from observer data and fishery logbooks................................................................. 18

Figure 8. Rates of encirclement and mortality of short-beaked common dolphins in the South Australian Sardine Fishery between 2004-05 and 2011-12 calculated from observer and logbook data. Error bars are Poisson 95% confidence intervals. Note logbook data is calculated excluding observed interactions, in 2004-05 observed interactions exceeded logbook interactions................. 19

Figure 9. Estimated numbers of dolphin encirclements and mortalities in the South Australian Sardine Fishery each financial year based on numbers recorded in fishery logbooks and extrapolation from rates calculated from observer and logbook (without observers) data. Error bars are Poisson 95% confidence intervals....................................................................................... 20
LIST OF TABLES

Table 1. Number of net-sets, % observer coverage, dolphins encirclements and mortalities (numbers and events) recorded in fishery logbooks and observer data in 2004-05, before the introduction of the industry Code of Practice, and 2005-06 to 2011-12, after its introduction. # Note that for 2004-05 and 2005-06 data are only included for the seven month period of the observer program in those years (November–June). .................................................................................................................. 13

Table 2. Number of applications and percentage success of the avoidance and release procedures identified the industry Code of Practice in preventing encirclement and mortality of dolphins in the SASF during 2004-05 to 2011-12. The Code of Practice was introduced in 2005-06. ................................................................. 13
ACKNOWLEDGEMENTS

This report was commissioned by PIRSA Fisheries and Aquaculture and was funded through licence fees collected from the South Australian Sardine Fishery. The efforts of Mr Paul Watson, Executive Officer, South Australian Sardine Industry Association (SASIA) in liaising with SARDI, conducting crew inductions and organising skippers’ meetings are gratefully acknowledged. We thank licence holders and their representatives, skippers and crews of the South Australian Sardine Fishery (SASF) for providing observers with access to vessels and providing logbook data. Observers from SARDI and Protec Marine Pty. Ltd. collected much of the data on which this report is based. Dr Greg Ferguson and Dr Paul Rogers (SARDI Aquatic Sciences) reviewed a draft of the report. The report was approved for release by Dr Qifeng Ye and Professor Gavin Begg.
EXECUTIVE SUMMARY

1. This is the sixth report by SARDI Aquatic Sciences on the operational interactions of the South Australian Sardine Fishery (SASF) with the short-beaked common dolphin (*Delphinus delphis*). The report presents observer and logbook data collected from November 2004 to 30 June 2012.

2. The objectives of this report are to: (i) describe and compare patterns of dolphin encirclement and mortality recorded in fishery logbooks and by observers; (ii) estimate the number of dolphin encirclements and mortalities that occur in the SASF each financial year; and (iii) assess the efficacy of the refined Code of Practice (CoP) in mitigating the interactions of the SASF with the short-beaked common dolphin.

3. In 2011-12, observers monitored 83 of 845 fishing nights (9.8%) and 73 of the 1107 net-sets (6.6%) in the SASF. Net-sets are a better measure of fishing effort (and observer coverage) than nights fished. This difference highlights the need for future assessments of the CoP to include analyses of fishing patterns with and without an observer present.

4. Observers reported that a total of 36 common dolphins were encircled (49.3 per 100 net-sets) in nine encirclement events and that one mortality occurred (1.4 per 100 net-sets). This animal was not observed until after it was dead and so no release procedure was implemented.

5. Logbook data for the SASF during 2011-12 (1107 net-sets) recorded 282 encirclements in 98 events and five mortalities in four mortality events.

6. The rates of encirclement and mortality recorded in logbooks when an observer was not present were 23.8 and 0.4 per 100 net-sets, respectively. The rates of encirclement and mortality recorded by observers were 2.1 and 3.5 times higher, respectively, than those recorded in logbooks when an observer was not onboard.

7. Extrapolation from observer rates and total fishing effort suggest that 546 encirclements and 15 mortalities occurred across the fishery in 2011-12, whereas only 282 encirclements and five mortalities were recorded in logbooks.

8. The discrepancy between the interaction rates recorded in fishery logbooks and reported by observers has fallen since the industry initiated a program to monitor interaction rates in real-time during October 2011. However, the issue is not yet resolved.

9. Information provided in this report reaffirms the success of the CoP in reducing rates of encirclement and mortality.

10. Future assessments of the CoP should assess statistical options that are suitable for measuring future trends and differences between interaction rates.
1.0 INTRODUCTION

The study by Hamer et al. (2008) documented the successful mitigation of the operational interactions of the South Australian Sardine Fishery (SASF) with the short-beaked common dolphin (*Delphinus delphis*) following the implementation of a Threatened, Endangered and Protected Species (TEPS) Code of Practice (CoP) and the establishment of a TEPS Working Group involving licence holders, skippers, fisheries managers and scientists. The rates of encirclement and mortality calculated from observer data in 2005-06 were reduced by 87% and 97%, respectively, compared to rates calculated in 2004-05, before the introduction of the CoP (Hamer et al. 2008). It was estimated that eight mortalities occurred across the entire fleet during the seven-month study period after the CoP was introduced, whereas about 377 dolphins were estimated to have died during the initial seven month observer program in 2004-05. The reduction in interaction rates was attributed to avoidance methods used to prevent encirclement and release procedures used to reduce the mortality rates of encircled dolphins. Discrepancies between encirclement and mortality rates calculated from observers and logbook data were reduced to less than factors of two (down from factors of 27 and 54, respectively). Hamer et al. (2009a) emphasised the important role that establishing and maintaining an effective working relationship between industry and scientists had played in achieving this important conservation and fishery management outcome.

A study published shortly after Hamer et al. (2008) suggested that a high level of genetic differentiation between populations of short-beaked common dolphin in South Australia and south-eastern Tasmania and emphasised the need for effective mitigation of the operational interactions of the SASF with this species (Bilgmann et al. 2008). Although Hamer et al. (2008) demonstrated that the CoP was effective in mitigating the operational interactions of the SASF with the short-beaked common dolphin, the performance of the CoP was only assessed over the relatively short period of seven months (Hamer et al. 2008; Bilgmann et al. 2009). Successful bycatch mitigation programs typically involve longer ongoing observer coverage, expert review and continuous improvement of mitigation practices (Waugh et al. 2008; Wiley et al. 2008). Bilgmann et al. (2009) identified the need for continued independent monitoring of the SASF to estimate the magnitude of ongoing interactions and assess the long-term efficacy of the CoP.

In the period since the study by Hamer et al. (2008), the level of observer coverage in the SASF increased to >20% between 2007-08 and 2009-10, the CoP has been
refined and additional measures have been taken to address interactions with the short-beaked common dolphin. The refined CoP for the SASF explicitly aims for world’s best practice and a process for continuous improvement in mitigating interactions with TEPS. Each crew is re-inducted to the CoP prior to the start of each fishing season. Flowcharts documenting the role of each crew member in mitigating interactions with TEPS have been developed and placed in the wheelhouse of each vessel. Before beginning work, every new crew member is formally inducted to the CoP and advised of their specific roles and responsibilities in mitigating interactions with TEPS. Skipper meetings are held every two months to discuss the effectiveness of avoidance and release procedures and identify options for improvement of the CoP. The TEPS Working Group, which has been expanded to include a representative of the Department of Environment, Water and Natural Resources (DEWNR), meets quarterly to consider data summaries for the preceding three months and, if warranted, identify refinements to the CoP or other aspects of the mitigation process. Formal reports on the interaction rates of the SASF with the short-beaked common dolphin are published annually (e.g. Hamer et al. 2007; Hamer and Ward 2007; Hamer et al. 2009b; Ward et al. 2010, 2011, 2012).

In October 2011, the South Australian Sardine Industry Association (SASIA) initiated industry based collection of interaction data. The Executive Officer (SASIA) collects fishery logbook sheets as soon as possible from each skipper and collates the data prior to submitting it to SARDI. This has allowed industry to quickly address trends at the regular skipper meetings.

This study reports on the interactions of the SASF with the short-beaked common dolphin (*Delphinus delphis*) from 2004-05 to 2011-12. The objectives of the study are to: i) describe and compare patterns of dolphin encirclement and mortality recorded in fishery logbooks and by observers; (ii) estimate the number of dolphin encirclements and mortalities that occur in the SASF each financial year; and (iii) assess the efficacy of the refined CoP in mitigating the interactions of the SASF with the short-beaked common dolphin.
2.0 METHODS
2.1 South Australian Sardine Fishery
The South Australian Sardine Fishery (SASF) is Australia’s largest single species fishery (by weight) with total annual catches over the last five years of ~30,000 tonnes. PIRSA Fisheries and Aquaculture permitted an additional 4,000 tonnes to be caught outside of traditional fishing areas since the 2010 season (Figure 1). The SASF operates primarily in southern Spencer Gulf and was established in 1991 to provide fodder for the grow-out of wild-caught southern bluefin tuna (*Thunnus maccocyti*).  

![Figure 1](image_url)  
**Figure 1.** Location of the study, fishing areas and sites mentioned in the text.

The SASF is a limited-entry fishery with 14 licence holders. It is managed using a Total Allowable Commercial Catch (TACC) and Individual Transferable Quotas (ITQs). There are also restrictions on the length and depth of the purse-seine net (1,000 and 200 m, respectively) and mesh size (14 to 22 mm). The full costs of the policy, research and compliance programs used to manage the fishery are recovered from licence holders through fishery license fees.
Licence-holders are actively involved in management of the SASF and conduct frequent meetings with PIRSA Fisheries and Aquaculture and SARDI Aquatic Sciences. There is a formal Management Plan and a defined Harvest Strategy (Shanks 2005). The revised Harvest Strategy for the SASF indicates that the baseline TAC C (30,000 tonnes) will be maintained while the latest estimate of spawning biomass remains between the limit reference points of 150,000 t and 300,000 t and there is no other evidence of serious stock decline.

Fishery-independent stock assessments using the Daily Egg Production Method were done annually from 1995 to 2007 and are now conducted biennially (e.g. Ward et al. 2011). Fishery Assessment Reports that integrate fishery-independent and fishery-dependent data using an age-structured model and that review fisheries management arrangements are completed biennially (in alternate years to the Spawning Biomass Reports). Catch and Disposal Records are monitored and there is an extensive compliance program to ensure catches do not exceed the TACC.

2.2 Logbook program

Fishers are required to complete fishery logbooks that document the date, location and timing of each net-set, weight of each catch and details of interactions with TEPS (e.g. number of encirclements, number of mortalities). The logbook for each vessel must be submitted to SARDI Aquatic Sciences before the fifteenth day of the following month. Since 2007, fishers have also been required to complete Wildlife Interaction Forms. These data are validated, stored and collated by SARDI Aquatic Sciences.

2.3 Observer program

An Observer Program was conducted by SARDI Aquatic Sciences from November 2004 to January 2006 and Protec Marine Pty. Ltd. from February 2006 to June 2011. Each observer monitored fishing activities from a high, unobstructed vantage point such as the wheelhouse, wheelhouse-roof or bow, depending on the vessel and prevailing weather conditions. The observer searched for dolphins in the illuminated area surrounding the vessel immediately prior to the net being set and within the circumference of the net during the fishing operation. Observer effort was spread across the fleet throughout the year.
Data recorded on Observer Datasheets included the vessel name, meteorological conditions, date, location and timing of each net-set, details regarding the interactions with TEPS (e.g. number of encirclements, number of mortalities), nature and success of avoidance and release procedures used and timing of implementation. The avoidance procedures used were 1) searching for dolphins prior to setting the net (deemed successful if no dolphins were detected and no encirclement occurred) and 2) delaying the setting of the net (deemed successful if setting was delayed due to the presence of dolphins and no dolphins were encircled when the net was set). The release methods considered in the report are: (i) no action (where no effort was made to release encircled dolphins); (ii) corkline weights (where weights were used to sink the corkline to provide an opening for dolphin egress); (iii) TEPS gate (panel of net was unclipped from corkline to provide an opening for dolphin egress); (iv) physical removal (where dolphins were removed from the net by crew members in a skiff); and (v) opening the front of the net. Data recorded on Observer Datasheets were validated, stored and collated by SARDI Aquatic Sciences.

2.4 Industry data program

In October 2011, the South Australian Sardine Industry Association (SASIA) initiated a data collection and monitoring program to improve the transparency of industry reporting and allow for a more timely response to be developed where necessary. The Executive Officer collates logbook information from industry independently and in addition to information from Protec Marine, with the aim of reducing the discrepancy by providing ongoing and timely feedback of the industry’s performance and highlighting areas for improvement. This facilitates access to near real time data for the fishery to be considered at the regular skipper meetings.

2.5 Data analysis

Total encirclements and mortalities for each financial year were estimated from the rate per net-set where observers were present, multiplied by the total number of net sets in the season (confidence intervals around the mean rate of encirclements and mortalities were calculated using a Normal approximation to the Poisson distribution (Seber 1982)). Uncertainties around all estimates are presented as 95% CIs.
3.0 RESULTS

3.1 Fishing patterns

Data from fishery logbooks show that the level of fishing effort within a financial year ranged from 884 net-sets in 2007-08 to 1069 net-sets in 2009-10 (Table 1). In most years, most fishing effort was recorded between January and June (Figures 2 and 3). In some fishing seasons, significant effort also occurred in December (e.g. 2005-06) and July (2009-10). Annual effort has ranged between 753 and 1,069 net-sets per year over the study period (Table 1; Figure 4). Most fishing was conducted in lower Spencer Gulf (Figures 5 and 6). Recent changes in spatial management of the SASF have directed some effort outside of traditional fishing areas, most of this fishing has been conducted in Gulf St Vincent and western Eyre Peninsula.

Direct comparisons of observer data and fishery logbooks suggested that some fishers did not always record data for each net-set separately (i.e. catches from several net sets were sometimes recorded as coming from a single set). For this reason, it is likely that the number of nets sets made annually in the SASF is higher than indicated in Table 1.

3.2 Patterns of dolphin encirclement and mortality

Logbook program

The number of dolphin encirclements recorded in fishery logbooks increased from 63 (in 28 separate encirclement events) in 2004-05 to 179 (60 events) in 2009-10 (Table 1). In 2010-11, 126 encirclements (in 40 separate events) were recorded in logbooks. Encirclements recorded in logbooks increased to 282 (in 98 separate events) in 2011-12. In contrast, the number of mortalities recorded in logbooks was relatively stable, with seven (six events) recorded over a seven month period in 2004-05 and ranging between five and fourteen between 2005-06 and 2011-12 (Table 1).

Observer program

During 2004-05 and 2005-06, the Observer Program was conducted between November and June only (Figure 2), after which observations were made over the entire financial year (with the exception of October-December 2009 and October-November 2010). The number and percentage of net-sets observed each year increased from 49 (5.0%) in 2004-05 to 233 (26.2%) in 2008-09, with the largest increase occurring between 2006-07 and 2007-08 (Table 1). In 2010-11, observed net-sets decreased to 91 (9.0%). Observer coverage decreased further to 73 net sets
(6.6%) in 2011-12. The highest levels of fishing and observer effort occurred consistently during the second half of the financial year (Figure 2).

In 2011/12, observers were onboard fishing vessels for 83 nights, including those nights where no fishing occurred. Over this period 845 fishing nights were recorded in logbooks. This indicates that observers were present for 9.8% of the fishing nights recorded in logbooks compared to 6.6% of total net-sets.

The number of dolphins encircled while an observer was onboard fell from 87 (18 events) in 2004-05 to 20 (nine events) in 2005-06, even though 89 net-sets were monitored compared to 49 net-sets the previous year (Table 1). In 2006-07, 60 dolphins were encircled (14 events) during the 82 net-sets monitored by observers. In the three years from 2007-08 to 2009-10, the number of dolphins encircled remained relatively stable (i.e. 53-89 dolphins in 21-28 events), despite the increase in the number of net-sets observed (189 net-sets in 2007-08 to 266 net-sets in 2009-10). In 2010-11, the number of encircled dolphins fell to 39 (11 events) although only 91 net-sets were observed (Table 1; Figure 3). In 2011-12, 36 dolphins were observed encircled (nine events) in 73 observed net-sets.

The number of observed dolphin mortalities fell from 19 (11 events) in 2004-05 to one in 2005-06, but increased to seven (four events) in 2006-07 (Table 1). In 2007-08, 11 mortalities (eight events) were recorded by observers and that number fell to five (three events), two (two events) and two (two events) in 2008-09, 2009-10 and 2010-11, respectively. In 2011-12, one mortality was observed.

The number of encirclements observed remained relatively stable and the decline in the number of mortalities observed mainly reflected the increase in the percentage of encircled dolphins that were released, which rose from 78% in 2004-05 to 95% in 2005-06 (Figure 7). Although there was a decrease in the percentage of encircled dolphins released to 88% in 2006-07 it increased to reach 98% in 2009-10. In 2011-12, 97% of encircled dolphins were successfully released when an observer was present.

### 3.3 Rates of dolphin encirclement and mortality

**Observed rates**

The rates of dolphin encirclement recorded by observers fell from 178 (95% CI 139-216) per hundred net-sets in 2004-05 to 22 (12-33) per hundred net-sets in 2005-06,
and increased to 73 (54-92) per hundred net-sets in 2006-07 (Figure 8). The observed rates of encirclement in 2007-08, 2008-09, 2009-10 and 2010-11 were 47 (37-57), 23 (16-29), 33 (26-40) and 43 (29-57) dolphins per hundred net-sets, respectively (Figure 8). In 2011-12, the rate of dolphin encirclement recorded by observers was 49 (33-66) dolphins per hundred net-sets.

The observed dolphin mortality rates fell from 39 (95% CI 21-57) per hundred net-sets in 2004-05 to one (0-3) per hundred net-sets in 2005-06 (Figure 8), but increased to nine (2-15) per hundred net-sets in 2006-07, before declining to six (2-9), two (0-4), one (0-2) and two (0-5) per hundred net-sets in 2007-08, 2008-09, 2009-10 and 2010-11, respectively. In 2011-12 the rate of dolphin mortality recorded by observers was one (0-4) dolphins per hundred net-sets.

Logbook rates excluding observed events
The rate of encirclement and mortality recorded in logbooks (excluding observed interactions) could not be calculated in 2004-05 because more interactions were observed than were recorded in logbooks (Figure 8). In 2005-06, the rate of encirclement reported in logbooks when an observer was not onboard was 12 dolphins per 100 net-sets (95% CI 9-14) then fell to 5 (3-6) in 2006-07. In 2008-09 this rate increased to 16 (13-19), similar to the rate when an observer is present (23 (16-29); Figure 8). The rate of encirclement in the absence of an observer fell to 9 (7-11) in 2010-11, lower than the rate recorded by observers over the same period (43 (29-56); Figure 8). In 2011-12, the rate of encirclement in the absence of an observer rose to 24 (21-27); approximately half the rate recorded by observers.

The rate of mortality calculated from logbook records excluding observed events was consistently lower than the rate calculated from observed events (Figure 8).

3.4 Estimates of dolphin encirclement and mortality
Extrapolation from observer data collected during the seven month study period in 2004-05 suggest that a total of 1728 (95% CI 1357-2098) and 377 (204-550) dolphins were encircled and died, respectively (Figure 9), whereas logbook data indicated that only 63 dolphins were encircled and seven died (some encirclements and mortalities recorded by observers were not recorded in logbooks).
Estimates of total dolphin encirclements and mortalities calculated from observer data for the corresponding seven month sampling period in 2005-06, i.e. 169 (93-245) and eight (0-25), respectively, were lower and more similar to the numbers recorded in logbooks (98 and five, respectively, Figure 9).

In 2006-07, extrapolations from observer data and fishing effort suggested that 681 (95% CI 505-857) dolphins were encircled and 79 (19-140) died, whereas only 101 encirclements and 10 mortalities were recorded in logbooks. The rate of encirclement and mortality calculated from observer data were 15 and 24 times higher, respectively, than the rates calculated from logbook data when observers were not present (Figure 9).

Extrapolations from observer data for 2007-08 suggested that 413 (95% CI 326–500) dolphins were encircled and 51 (20–82) died whereas logbook data indicated that 158 encirclements and 14 mortalities occurred. Rates of encirclement and mortality calculated from observer data were five and 13 times, respectively, the rates calculated from logbook data when observers were not present (Figure 9).

In 2008-09, the observer-based estimate and logbook-count of encirclement, i.e. 205 (95% CI = 149-262) and 159 dolphins, respectively, were more similar than the estimate and count of mortality, i.e. 19 (2-36) and five dolphins, respectively. The encirclement rate calculated from observer data was 1.4 times the rate calculated from logbook data when no observer was present. No mortalities were recorded in logbooks when an observer was not present (Figure 9).

The estimates of encirclement and mortality extrapolated from observer data for 2009-10, i.e. 350 (95% CI = 275-425) and 8 (0-19) dolphins, respectively, were almost twice that recorded in logbooks (179 encirclements and five mortalities). The encirclement and mortality rate calculated from observer data were 2.9 and 2.0 times, respectively, the rates calculated from logbook data when no observer was present (Figure 9).

Extrapolations from observer data for 2010-11 suggested that 435 (95% CI 295–574) dolphins were encircled and 22 (0–55) died whereas logbook data indicated that 126 encirclements and 7 mortalities occurred. Encirclement and mortality rates calculated from observer data were 4.5 and 4.1 times, respectively, the rates calculated from logbook data when observers were not present (Figure 9).
In 2011-12, estimates of total dolphin encirclement and mortality based on observed rates were 546 (95% CI 364-728) and 15 (0-45) respectively compared to 282 encirclements and five mortalities recorded in logbook data. Observed rates of encirclement and mortality were 2.1 and 3.5 times, respectively, the rate calculated for trips without an observer (Figure 9).

3.5 Code of Practice assessment

In 2004-05, before the introduction of the CoP, fishers did not actively search for dolphins prior to fishing or delay setting the net when dolphins were present (Table 2). However, after the introduction of the CoP fishers searched for dolphins before setting the net and delayed setting when dolphins were observed near the vessel. The overall success of these avoidance procedures is reflected in the reductions in dolphin encirclement rates since the introduction of the CoP (Table 2, Figure 8). However, the increase encirclement rates since 2008-09 suggests that further improvements may be achievable.

In 2005-06, after the introduction of the initial CoP, a wide range of procedures were used to release dolphins. For example, specifically-designed weights were used to submerge the corkline and allow dolphins to swim out of the net. Similarly, purpose-built panels of net (TEPS gates) were sometimes opened to allow dolphins to escape. On other occasions, crew members (and at times observers) in small vessels physically removed dolphins from the net. At other times, the front of the net was opened to allow dolphins to swim away.

Corkline weights and the TEPS Gate are no longer used (or recognised in the CoP) because they are difficult to deploy and dolphins do not always exit through the relatively small openings provided by these methods. Physical removal is also avoided, in part because of potential risks to crew safety. The release procedure now recommended in the CoP, (opening the front of the net) has been successful in allowing dolphins to escape (Table 2).
Table 1. Number of net-sets, % observer coverage, dolphins encirclements and mortalities (numbers and events) recorded in logbooks and observer data in 2004-05, before the introduction of the industry CoP, and 2005-06 to 2011-12, after its introduction. # Data for 2004-05 and 2005-06 are only for the seven month period of the observer program in those years (November–June).

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Net-sets (Logbooks)</th>
<th>Observed Net-sets (Datasheets)</th>
<th>% Observer Coverage</th>
<th>Encirclements No. (events)</th>
<th>Mortalities No. (events)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2004-05</td>
<td>973</td>
<td>49</td>
<td>5.0</td>
<td>63 (28)</td>
<td>7 (6)</td>
</tr>
<tr>
<td>2005-06</td>
<td>753</td>
<td>89</td>
<td>11.8</td>
<td>98 (47)</td>
<td>5 (5)</td>
</tr>
<tr>
<td>2006-07</td>
<td>931</td>
<td>82</td>
<td>8.8</td>
<td>101 (43)</td>
<td>10 (5)</td>
</tr>
<tr>
<td>2007-08</td>
<td>876</td>
<td>189</td>
<td>21.6</td>
<td>158 (59)</td>
<td>14 (10)</td>
</tr>
<tr>
<td>2008-09</td>
<td>902</td>
<td>233</td>
<td>25.8</td>
<td>159 (61)</td>
<td>5 (3)</td>
</tr>
<tr>
<td>2009-10</td>
<td>1070</td>
<td>266</td>
<td>24.9</td>
<td>179 (60)</td>
<td>5 (5)</td>
</tr>
<tr>
<td>2010-11</td>
<td>1014</td>
<td>91</td>
<td>9.0</td>
<td>126 (40)</td>
<td>7 (7)</td>
</tr>
<tr>
<td>2011-12</td>
<td>1107</td>
<td>73</td>
<td>6.6</td>
<td>282 (98)</td>
<td>5 (4)</td>
</tr>
</tbody>
</table>

Table 2. Number of applications and percentage success of the avoidance and release procedures identified in the industry CoP in preventing encirclement and mortality of dolphins in the SASF during 2004-05 to 2011-12. The CoP was introduced in 2005-06.

<table>
<thead>
<tr>
<th>Year</th>
<th>Avoidance Procedures No. (% success)</th>
<th>Release Procedures No. (% success)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Search Delay No action Corkline TEPS Physical removal Open front of net</td>
<td></td>
</tr>
<tr>
<td>2004-05</td>
<td>0 0 32 (15.6) 8 (53.3) 2 (50.0) 18 (88.9) 20 (80.0)</td>
<td></td>
</tr>
<tr>
<td>2005-06</td>
<td>6 (100.0) 15 (100.0) 0 4 (50.0) 7 (42.9) 3 (100.0) 13 (92.3)</td>
<td></td>
</tr>
<tr>
<td>2006-07</td>
<td>96 (85.4) 19 (89.5) 0 0 4 (100.0) 2 (100.0) 6 (83.3)</td>
<td></td>
</tr>
<tr>
<td>2007-08</td>
<td>216 (87.0) 50 (78.0) 0 0 2 (100.0) 6 (66.7) 11 (72.7)</td>
<td></td>
</tr>
<tr>
<td>2008-09</td>
<td>243 (91.4) 24 (95.8) 0 0 1 (0.0) 5 (80.0) 2 (100.0)</td>
<td></td>
</tr>
<tr>
<td>2009-10</td>
<td>280 (90.7) 101 (93.1) 0 0 0 0 10 (90.0)</td>
<td></td>
</tr>
<tr>
<td>2010-11</td>
<td>95 (88.4) 17 (94.1) 1 (100.0) 0 0 4 (50.0) 7 (100.0)</td>
<td></td>
</tr>
<tr>
<td>2011-12</td>
<td>67 (89.3) 3 (100.0) 1 (100.0) 0 0 0 7 (100.0)</td>
<td></td>
</tr>
</tbody>
</table>
Figure 2. Monthly fishing effort (logbook net-sets), observer effort (observed net-sets), and number of dolphin encirclements and mortalities before (2004-05) and after (2005-06 to 2011-12) the introduction of the industry CoP in the SASF.
Figure 3. Fishing effort (logbook net-sets), observer effort (observed net-sets), and number of dolphin encirclements and mortalities for financial years from before (2004-05) and after (2005-06 to 2011-12) the introduction of the industry CoP in the SASF.
Figure 5. Spatial distribution of fishing effort, location of observed net sets, encirclement and mortality events in SASF during 2004-05 to 2009-10.
Figure 6. Spatial distribution of fishing effort, location of observed net sets, encirclement and mortality events in SASF during 2010-11 and 2011-12.
Figure 7. Percentage of encircled dolphins that were successfully released in the South Australian Sardine Fishery from 2004-05 to 2011-12 based on data from observer data and fishery logbooks.
Figure 8. Rates of encirclement and mortality of short-beaked common dolphins in the South Australian Sardine Fishery between 2004-05 and 2011-12 calculated from observer and logbook data. Error bars are Poisson 95% confidence intervals. Note logbook data are calculated excluding observed interactions, in 2004-05 observed interactions exceeded logbook interactions.
Figure 9. Estimated numbers of dolphin encirclements and mortalities in the South Australian Sardine Fishery each financial year based on numbers recorded in fishery logbooks and extrapolation from rates calculated from observer and logbook (without observers) data. Error bars are Poisson 95% confidence intervals.
4.0 DISCUSSION
The industry CoP continues to be effective in reducing the interaction rates of the SASF with the common dolphin. In 2004-05, before introduction of the CoP, the observed rate of encirclement in the SASF was 178 (95% CI 140-215) dolphins per hundred net-sets but after the CoP was introduced this rate did not exceed 73 dolphins per hundred net sets in any year. More importantly, the observed mortality rate in 2004-05 was 39 (21-56) dolphins per hundred net sets but did not exceed nine dolphins per hundred net sets after the CoP was introduced.

The overall reduction in the encirclement rate after the introduction of the CoP reflects the success of the avoidance and release procedures specified in the CoP in preventing interactions from occurring. Before the code was introduced, fishers seldom searched for dolphins prior to setting net or delayed setting the net when dolphins were observed near the vessel. However, over the last six years these practices have been increasingly adopted in the fishery and are now standard operating procedures, being documented in the vessel-specific flowcharts that outline the role of each crew member in mitigating interactions with TEPS and which are located in the wheelhouse of each vessel. The increase in the encirclement rate since 2008/09 suggests that there may be further opportunities to improve the effectiveness of the avoidance and release procedures currently used.

The large reduction in the mortality rates since the introduction of the CoP reflects the co-occurrence of several changes in fisher behaviour. Most importantly, the reduction in encirclement rates has reduced the potential for mortality events to occur. However, the increase in the proportion of encircled dolphins successfully released has also played an important role and is the result of both reductions in the time taken to respond to encirclements and improvements in the procedures used to release dolphins. The reduction in response time since 2004-05 reflects: i) the requirement for all crew members to scan the area inside the net to determine if dolphins are present as soon as the net is set (pursed); ii) the obligation to immediately report observed encirclements to the skipper; and iii) the skipper’s responsibility to enact release procedures as soon as practical and make releasing the dolphin(s) the priority for the fishing operation.

The success of the CoP is best shown in the reductions in the estimates of mortalities in the SASF since the code was introduced. Extrapolation from observer data suggests that in the seven month study period before the introduction of the
CoP in 2004-05, 1728 (95% CI 1357-2098) and 377 (204-550) dolphins were encircled and died, respectively, whereas it was estimated that 169 (94-245) dolphins were encircled and eight (0-25) died in the corresponding period after its introduction. Total observer data over the last four years (2008-2012) suggest that fewer animals have been encircled (1536) and died (65) than during the initial seven month study period. In 2011-12, an estimated 546 (364-728) dolphins were encircled and 15 (0-45) died. The rate of mortality recorded by observers in 2011-12 was approximately twenty-eight times lower than that recorded in 2004-05.

The remaining issue of concern regarding the interaction of the SASF with the short-beaked common dolphin is the discrepancy between the rates of interactions reported by observers and recorded in logbooks. In 2010-11, the estimates of encirclement and mortality extrapolated from observer data were higher than the numbers recorded in logbooks. The reason for this difference is that the encirclement and mortality rates calculated from observer data were 4.5 and 4.4 times, respectively, the rates calculated from logbook data when no observer was present. These findings imply that the interaction rates of the SASF with the short-beaked common dolphin continued to be under-reported in fishery logbooks. The initiation of the industry based, real-time data collection and monitoring program appears to have gone some way towards rectifying this discrepancy, with the reported rate of interaction in the absence of an observer rising since its introduction. The rate of encirclement and mortality recorded by observers in 2011-12 was 2.1 and 3.5 times the rate recorded in the absence of an observer. This represents a 53% reduction in the discrepancy between observed and unobserved rates of encirclement since the introduction of the industry initiative. The TEPS Working Group has identified that reducing the discrepancy between the interaction rates reported by observers and recorded in logbooks remains a high priority for the fishery.

The difference between the percentage of observed fishing nights (9.8%) and observed net-sets (6.6%) reflects limitations of fishing nights as a measure of fishing effort and for monitoring observer coverage. This difference in part reflects the high proportion of observed fishing effort outside Spencer Gulf, where a higher proportion of fishing nights were spent travelling to and from the fishing grounds. However, this difference also highlights the need for future assessments of the CoP to include analyses of fishing patterns with and without an observer present.
The low rates of encirclement and mortality of the short-beaked common dolphin recorded by observers provides evidence of the efficacy of the industry CoP established by the SASF (Bilgmann et al. 2008, 2009; Hamer et al. 2008, 2009a). However, there may be further opportunities to improve the effectiveness of the avoidance and release procedures currently used. These low interaction rates also make it difficult to measure changes/trends in the performance of the CoP with statistical precision and to formally compare observed and reported mortality rates. Alternative options for analysing these data are currently being explored.
5.0 REFERENCES


