

AUSTRALIAN GEOTHERMAL ENERGY GROUP INCORPORATED

APPLICATION FOR INCLUSION ON THE REGISTER OF PRACTISING GEOTHERMAL PROFESSIONALS

This application form consists of the following:

- Information for Applicants;
- Information regarding the Applicant, including Declaration by Proposers and Declaration by the Applicant;
- Privacy Statement; and
- Code of Ethics for Persons on the Register of Practising Geothermal Professionals (to be signed and agreed to before inclusion on the Register).

INFORMATION FOR APPLICANTS

The Australian Geothermal Energy Group Incorporated (AGEG) maintains a Register of Practising Geothermal Professionals (Register) as a courtesy service to the geothermal sector in Australia. Inclusion on the Register is one of the pre-requisites for being a Competent Person under the Geothermal Reporting Code Second Edition (2010) (GRC). However, the Register is NOT a Register of Competent Persons under the GRC. Persons eligible for inclusion on the Register are not limited to Competent Persons under the GRC but include other persons practising in the geothermal sector. Registration requires demonstration of relevant training and/or work experience and registrants must be actively working in the Geothermal Industry.

The AGEG, it's Executive Committee and/or members do not endorse or make any representations as to the professional qualification, level of experience, expertise or abilities of any person appearing on the Register. Any person or entity proposing to engage a Registered Person should make their own independent enquiries in this regard.

Persons wishing to appear on the Register must complete all details below and submit the completed form to:

The Secretariat
Australian Geothermal Energy Group
GPO Box 1671
Adelaide, SA, 5001

ALL BOXES MUST BE COMPLETED. The form must be completed in as much relevant detail as possible, with no material omissions. Documentation must be supplied where noted. Where information sought is not applicable, the box must be noted N/A or struck out. Failure to complete the form or supply the required documentation may result in the application being rejected.

The application will be considered by the Executive Committee of the AGEG or such person(s) or committee as it may delegate for this purpose. The AGEG may accept or reject an application for inclusion on the Register at its complete discretion. The AGEG's decision is final and shall not be subject to any review or appeal.

The AGEG may remove a person's name from the Register if:

- (a) the AGEG Ethics and Standards Committee and/or Executive Committee determines that, in its opinion, a person has breached the Code of Ethics for Persons on the Register of Practising Geothermal Professionals (as may be amended and notified from time to time) (Code of Ethics); and
- (b) the AGEG Executive Committee determines that removal from the Register is an appropriate sanction for the breach.

CHECKLIST (TO BE COMPLETED BY APPLICANT):

- All information provided is complete, correct and current, with any unused boxes noted N/A or struck out.
- All relevant documentation has been supplied: e.g. certified copies of academic qualifications, evidence of current professional organisation membership, CV/supporting statement regarding employment history.
- Proposer and seconder have signed their respective declarations and supplied and attached current and complete CVs and proposer has initialled work history summary.
- Application and attached Code of Ethics have both been read, understood, signed and agreed.
- Payment of \$165 (comprises a \$100 registration fee, \$50 annual maintenance fee and \$15 GST) attached as a cheque and made payable to the Australian Geothermal Energy Association (AGEA are currently holding all payment of funds on behalf of AGEG).

APPLICATION TO APPEAR ON THE REGISTER OF PRACTISING GEOTHERMAL PROFESSIONALS

<p><i>All boxes shaded yellow must be completed. Please note N/A or strike out if not relevant.</i></p>				
Surname				
Given Name(s)				
Preferred name				
Title (Mr, Ms, Dr, Prof., etc)				
<p>NOTE: If accepted for inclusion on the Register, the above details will be published on the AGEG web site at www.pir.sa.gov.au/geothermal/ageg.</p>				
Address for postal correspondence				
Other contacts (please circle preferred phone and e-mail)		Home	Business	
Telephone				
Mobile Phone				
Fax				
Primary e-mail address				
Alternate e-mail address				
<p>Your alternate e-mail address will only be used to notify you if your primary e-mail address becomes undeliverable and will not be used for any other extraneous purpose.</p>				
<p>Academic qualifications <i>Certified copies of degrees, certificates or certified statements from the relevant institution etc must be attached.</i></p>				
Institution	Qualification	Year awarded	Major subjects (e.g. mineral or petroleum geoscience, engineering, etc)	
<p>Summary of work history and experience in the geothermal sector <i>Must be verified by proposer and must include recent professional work in the geothermal sector within the preceding 12 months. Applicant must also attach a current and complete CV.</i></p>				
From	To	Employer	Position and description of responsibilities	Proposer's initials

Note: Applicants are required to notify the AGEG should they fail to maintain at least some form of professional work in the geothermal sector within a preceding 12 month period and of any material change in their employment. Registered Persons may also be subject to review from time to time to ensure that they have maintained at least some form of professional work in the geothermal sector within the preceding 12 months as at the date of review.

Professional organisations

Please list and provide evidence of all relevant professional organisations or associations of which you are a current member. Please note this is not mandatory however it will aid the assessment process.

Name of organisation	Category	Length of membership
Any affiliation with AGEG (e.g. Name of employer who is a Member, Associate Member etc.)		
Membership of or affiliation with the AGEG is not required to appear on the Register.		

Declaration by Proposers

We, the undersigned, propose (name of Applicant) _____ to appear on the Register of Practising Geothermal Professionals. We have personal knowledge of the applicant and his/her professional employment history as detailed above, in particular his/her recent professional work in the geothermal sector, and we have no reason to believe that he/she is not a person professionally qualified and experienced to appear on the Register.

A copy of a current and complete CV for each of the proposer and seconder must be supplied and attached.

	Name	Signature	Date	Mobile phone
Proposer				
Secunder				

Mobile phone will be used only to verify details as required.

Declaration by applicant

I, (name of applicant) _____ of (business address) _____ apply to appear on the Register of Practising Geothermal Professionals, maintained by the AGEG. In doing so, I agree and represent the following:

1. All information supplied on this form is complete, correct and contains no material omissions;
2. By virtue of appearing on the Register I will not claim any particular professional standing or ability. I acknowledge that my appearance on the Register does not confer upon me any status or standing whatsoever as a Competent Person within the meaning of the GRC (or later versions or editions) but is merely one of the pre requisites to being a Competent Person for the purposes of the GRC (or later versions or editions);
3. I have read, understand, signed and agree to be bound by the Code of Ethics (attached) and any subsequent amendments notified to me (Code of Ethics). I will abide by the Code of Ethics as a minimum standard for my professional conduct;
4. I agree to be bound by the complaints and disciplinary procedures outlined in Annex 1 to the GRC (or later versions or editions) as may be amended and notified from time to time (Annex 1) and any decision and/or disciplinary action that may be taken thereunder. To the extent that I am not a Competent Person within the meaning of the GRC, I agree to be bound by Annex 1 with regard to any alleged breach(es) of the Code of Ethics, with any references to "Competent Person" to be replaced by "Registered Person". This extends to any sanctions

that may be issued under the Code of Ethics if I am found to have committed a breach, including but not limited to the issue of a warning or reprimand, publication of a notice of breach, an apology (written or verbal), fines, suspension or removal from the Register, the refund of any professional fees charged relating to the breach and recovery of the costs of any proceedings;

5. I agree to be bound by all decisions of the AGEG, its Executive Committee, Ethics and Standards Committee (ESC), members thereof, other delegate(s) or any of them in so far as they affect my appearance or otherwise on the Register and otherwise under the Code of Ethics and Annex 1;
6. To the extent permitted by law, I release the AGEG, its Executive Committee, ESC, Secretariat, sub-committees and members in all categories (AGEG and Associates) or any of them from all claims and liabilities (whether in tort, including the tort of negligence, equity, under statute or otherwise), including but not limited to those arising from or in connection with my appearance on the Register, any acts, omissions or defects in my professional capacity and any decision taken by the AGEG and Associates or any of them under the Code of Ethics or Annex 1, and for indemnity or contribution in connection with any such matters;
7. I undertake not to sue the AGEG and Associates or any of them for any such claims or liability;
8. I indemnify and will keep indemnified the AGEG and Associates or any of them against all claims, actions, proceedings, liabilities, demands, costs, expenses and losses, suffered or incurred, whether by me, my employer, client, principal, related or third parties, including but not limited to those arising (whether in tort, including the tort of negligence, equity, under statute or otherwise) from or in connection with my appearance or otherwise on the Register, any acts, omissions, defects or negligence in my professional capacity and any decision taken by the AGEG and Associates or any of them under the Code of Ethics or Annex 1;
9. Should I be removed from the Register for any reason, from that point forward, unless re-admitted to the Register, to the extent that I have identified myself as a Competent Person for the purposes of the GRC (or later versions or editions), I undertake not to continue or commence to identify myself as a Competent Person ; and
10. I agree that the contents and terms of this Application form and any disputes that may arise from or in connection to them shall be governed by and subject to the laws of South Australia.

Signature of applicant: _____ **Date:** _____

Name of witness (Print clearly): _____

Signature of witness: _____ **Date:** _____

PRIVACY STATEMENT

THE AGEG AND YOUR PRIVACY

The AGEG recognises the importance of your privacy and understands your concerns about the security of your personal information. We are committed to taking all reasonable care to protect any personal information about you that we hold. The AGEG seeks to adhere to the National Privacy Principles as set out in the *Commonwealth Privacy Act 1988*. The AGEG will only collect that personal information which is necessary to fulfil its functions and activities.

The AGEG will take all reasonable care to ensure that personal information will not be passed on to third parties for commercial purposes. The following is information that the Privacy Act requires us to communicate to all members and non-members of the AGEG. It is recommended that you keep this information for future reference. All AGEG office bearers, Secretariat, employees, committee members, contractors and appointed volunteers must adhere to the provisions of the AGEG Privacy Statement.

Your Personal Information

Personal information held by the AGEG, provided in or with regard to your Application for Inclusion on the Register of Practising Geothermal Energy Professionals (Register), may include:

- Name
- Address
- Telephone Numbers
- Email Addresses
- Facsimile Numbers
- Qualifications
- Other information, such as employment history, professional memberships, comments by peers, etc as at the time of Application for Inclusion on the Register of Practising Geothermal Professionals.

How the AGEG collects Personal Information

The AGEG may collect personal information directly from individuals at the time of applying for inclusion on the Register of Practising Geothermal Professionals or in regular requests for updated information. The AGEG may also collect information when you use our website (www.pir.sa.gov.au/geothermal/ageg). The only personal information the AGEG collects when you use our website is that which you yourself provide.

How the AGEG uses your Personal Information

Your personal information may be used in order to:

- Assess your application for inclusion on the Register;
- Publish the Register;
- Review, update, maintain and develop the Register.

When we disclose your Personal Information

- The AGEG may disclose personal information to statutory and regulatory authorities (such as the Australian Securities Exchange (ASX)) upon request.
- The AGEG may confirm an individual's appearance or otherwise on the Register where this is claimed.
- The AGEG may confirm professional qualifications or experience held or otherwise by a person appearing on the Register where that person publicly claims such professional qualifications or experience.
- The AGEG's Secretariat is provided by, and its website is hosted by, the Department of Primary Industries and Resources South Australia (PIRSA). Therefore IT and other staff of PIRSA may become aware of your Personal Information in the course of administrative or IT activities. In these cases those persons are also bound by the privacy provisions of the South Australian Government.

- The AGEG may publish on its website or otherwise the names and contact details supplied by persons appearing on the Register, including telephone, facsimile and postal address(es).

Access, Accuracy and Secure Storage

Individuals may request and be provided with access to their personal information.

Requests for access should be made in writing and will, wherever possible, be granted as soon as possible.

The individual making the request must be able to confirm their identity prior to disclosure of any personal information.

The AGEG undertakes to take all reasonable care to treat personal information in a confidential and secure manner to maintain this information's accuracy and completeness.

The AGEG recommends that you promptly advise of any changes in your personal information such as your name, contact details and qualifications.

Should you require any further information concerning privacy please contact the AGEG Secretariat, Alexandra Long at (08) 8463 7022.

AUSTRALIAN GEOTHERMAL ENERGY GROUP
CODE OF ETHICS FOR PERSONS ON THE
REGISTER OF PRACTISING GEOTHERMAL PROFESSIONALS

1. Definitions

For the purposes of this Code, unless the context requires otherwise, a 'Registrant' shall mean any person who is admitted to the Register of Practising Geothermal Professionals (Register); 'Executive' shall mean the Executive Committee of the Australian Geothermal Energy Group (AGEG); and 'Ethics and Standards Committee' shall mean the Ethics and Standards Committee of the AGEG (ESC) duly constituted and regulated by the Executive and/or AGEG members as provided for in the AGEG's Constitution. 'GRC' shall mean the Geothermal Reporting Code Second Edition (2009) or later versions or editions.

2. Principle

Registrants shall discharge their professional duties with fidelity to the public, their employers and clients, and at all times in their professional or employed capacities carry out their work with competence, integrity and professional responsibility.

3. Application

This Code shall apply to all persons who are accepted onto the Register, as maintained by the AGEG.

4. Professional Duties

Registrants shall:

- (a) in all working decisions and recommendations, have due regard for the welfare and safety of the community which may be affected by the work for which the Registrant is responsible or which may result from the Registrant's recommendations;
- (b) perform work only in their areas of competence;
- (c) give evidence, express opinions or make statements in an objective and truthful manner and on the basis of adequate knowledge;
- (d) comply with this Code of Ethics (as may be amended and notified from time to time), the **GRC** (to the extent this may apply), all applicable laws and government regulations relating to the geothermal industry;
- (e) comply with the rules, regulations and practices established and promulgated by the Australian Stock Exchange with respect to the official listing requirements for geothermal companies to which the GRC applies (to the extent these may apply); and
- (f) uphold the reputation of their profession and maintain the highest possible level of conduct in all professional matters.

5. Matters of Fact

Registrants shall avoid and discourage exaggerated and unwarranted statements. If called upon to give evidence or otherwise to speak on a matter of fact, Registrants shall state what they believe to be the truth with scrupulous impartiality.

6. Matters of Opinion

Provided that the matter is clearly expressed as an opinion, Registrants may, where required, give a considered professional opinion based on facts, experience, interpretation, extrapolation or a combination of these.

7. Public Comment

In any public written or verbal comment, Registrants shall be careful to state whether the statements or assertions made therein represent facts, an interpretation of facts, an opinion, or a belief. In all such circumstances, Registrants shall act only with propriety in criticising the ability, opinion or integrity of another Registrant, person or entity.

8. Intra-Professional Conduct

Registrants shall not unjustifiably disparage the reputation of another Registrant. However, if a Registrant considers another Registrant to be guilty of a breach of the Code of Ethics, it is a Registrant's duty to present his or her views and the relevant information in writing to the Secretariat of the AGEg, who in turn will initiate appropriate action under Annex 1 of the GRC (whether or not the matter is related to the GRC). Where the circumstances are such that this is impractical or undesirable from the point of view of the person making the complaint, then the complaint may be lodged with any Executive Committee member.

9. Unfair Advantage

Registrants shall not use the advantages of former or present status or position to compete unfairly with other professionals.

10. Client Advice Duties and Referrals

Registrants shall engage or advise his or her employer or client to engage, and cooperate with, other experts and specialists whenever the employer's or client's interests would be best served by such service. Registrants shall not accept a concealed fee for referring a client or employer to a specialist or for recommending services other than a Registrant's own.

11. Training of Subordinates

When in a position of authority over other professionals, Registrants shall take care to see that those under his or her direction are afforded every reasonable opportunity to advance their knowledge and experience.

12. Credit to Others

Registrants shall ensure that proper credit is given to any associate, subordinate or otherwise, who has contributed to work for which a Registrant is responsible or whose work is being reviewed.

13. Conflict of Interest

Registrants shall not place themselves in a position where they owe obligations or duties to any other person or entity that conflict with the interests of a Registrant's client or employer. If a Registrant becomes aware of such a conflict, he or she shall immediately notify his or her employer or client of this.

Registrants shall not accept any substantial favours from a person or entity so as to give rise to a conflict of interest as against an employer or client.

14. Patents or Information

If a Registrant wishes to obtain or maintain any patent or other intellectual property in any article, process or work product developed or to be developed as a result of any work for which the Registrant has been engaged by an employer or client, the Registrant shall advise the relevant employer or client. Registrants shall not receive, either directly or indirectly, any royalty, gratuity or commission in respect of any such intellectual property unless the Registrant has fully disclosed the fact, in writing, to his or her employer, client or fellow professionals and associates in this matter.

15. Confidential Information

Registrants shall not use for any personal gain or advantage, nor shall they disclose, confidential information which may be acquired as a result of special opportunities arising out of work done for a client or employer, without the consent in writing of the client or employer.

16. Claims to Competent Person Status

Registrants shall not describe themselves, nor permit themselves to be described as Competent Persons for the purposes of the GRC in any aspect or branch of the geothermal profession unless the Registrant satisfies the requirements of the GRC in that regard.

17. Governmental and Organisational Requirements

Registrants shall have knowledge of and strictly comply with all laws and regulations relating to his or her professional activities and shall comply with the rules of any related professional organisation to which the Registrant belongs.

18. Financial Markets

Registrants shall comply strictly with the rules and regulations as established and promulgated by the Australian Securities Exchange with respect to the Australian Securities Exchange Listing Rules, particularly those Rules and regulations specific to the industry sector they are working in (to the extent these may apply).

Registrants acting as and/or taking responsibility for Public Reports under the GRC shall take scrupulous care to comply with all aspects of the GRC.

19. Responsibility

The administration of this Code and matters related to it shall be vested in the AGEG Executive and the ESC. Any matter of misconduct or negligence related to this Code of Ethics shall be considered by the ESC and the Executive. The Executive will determine the appropriate action to be taken for any breach.

20. Non-Compliance with the Code

Where the Executive is of the opinion that a Registrant has breached any provision of this Code of Ethics, the Registrant shall be subject to disciplinary action as determined by the Executive and sanctions including but not limited to the issue of a warning or reprimand, publication of a notice of breach, an apology (written or verbal), fines, suspension or removal from the Register, the refund of any professional fees charged relating to the breach and recovery of the costs of any proceedings.

STATEMENT BY APPLICANT

I have read and understood the above Code of Ethics and agree to be bound by it and any amendments as may be made and notified to me from time to time as a minimum standard for my professional conduct and to be bound by any decisions or disciplinary action taken under it or Annex 1 to the GRC.

Name and signature of Applicant